



Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/900,660
Filing Date	07/06/01
First Named Inventor	Williams, et al.
Group Art Unit	2614
Examiner Name	HONG
Attorney Docket Number	011085

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS..

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
H		S. BENEDETTO, R. GAUDINO and P. POGGIOLINI, Direct Detection of Optical Digital Transmission Based on Polarization Shift Keying Modulation, IEEE Journal on Selected Areas in Communications, April, 1995, pgs. 531-542, Vol. 13, No. 3.	
H		S. BENEDETTO and PIERLUIGI POGGIOLINI, Theory of Polarization Shift Keying Modulation, IEEE Transactions on Communications, April, 1992, pgs. 708-721, Vol. 40, No. 4.	
H		S. BETTI, F. CURTI, G. DE MARCHIS and E. IANNONE, Multilevel Coherent Optical System Based on Stokes Parameters Modulation, Journal of Lightwave Technology, July 1990, pgs. 1127-1136, Vol. 8, No. 7.	
H		S. BENEDETTO and P.T. POGGIOLINI, Multilevel Polarization Shift Keying: Optimum Receiver Structure and Performance Evaluation, IEEE Transactions on Communications, April, 1994, pgs. 1174-1186, vol. 42, No. 2/3/4	
H		S. BENEDETTO, R. GAUDINO and P. POGGIOLINO, Performance of Coherent Optical Polarization Shift Keying Modulation in the Presence of Phase Noise, IEEE Transactions on Communications, February/March/APril 1995, pgs. 1603-1612, Vol. 43, No. 2/3/4.	

Examiner Signature	HONG	Date Considered	11-27-06
--------------------	------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.